



PATENT

Case Docket No. GENENT2827A2
Date: August 2, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Pennica et al.
App. No. : 09/759,056
Filed : January 11, 2001
For : NOVEL STRA6
POLYPEPTIDES
Group Art Unit : 1645

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

August 2, 2001
(Date)

Ginger R. Dreger, Reg. No. 33,055

TRANSMITTAL LETTER

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on April 2, 2001, enclosed are:

- (X) A copy of a Declaration and Power of Attorney filed on March 5, 2001, which postcard received from PTO was stamped with the date of March 9, 2001.
- (X) An extension of time to respond for 2 month(s) is hereby requested.

Time Extension Fee:

| | | |
|----------------------------------|--------------|----------------------|
| <input type="radio"/> | one month | (\$110 large entity) |
| <input checked="" type="radio"/> | two months | (\$390 large entity) |
| <input type="radio"/> | three months | (\$890 large entity) |

- (X) Substitute Specification Submission Statement.

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(X) Substitute drawings in compliance with 37 CFR 1.84.

(X) Return prepaid postcard.

(X) Fees as calculated below:

| | | |
|---|----------|----------|
| FEE FOR EXTENSION OF TIME (LARGE ENTITY) | 2 months | \$390 |
| SURCHARGE 37 CFR 1.16(e) | | \$ + 130 |
| TOTAL OF ABOVE CALCULATIONS | | \$ 520 |
| REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY. | | |
| Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 0 | | |
| TOTAL FEES SUBMITTED HERewith | | \$520 |

(X) A check in the amount of \$520 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees, which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

Ginger R. Dreger
Registration No. 33,055
Attorney of Record



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SUBSTITUTE SPECIFICATION SUBMISSION STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby state that the enclosed Substitute Specification is identical to the Specification
previously submitted and it is in compliance with 37 C.F.R. §1.52. I further state that this
submission includes no new matter.

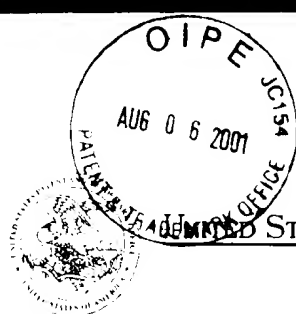
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: _____

By: _____

Ginger R. Dreger
Registration No. 33,055
Attorney of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/759,056 | 01/11/2001 | Dianne Pennica | GENENT.2827A2 |

 20995
 KNOBBE MARTENS OLSON & BEAR LLP
 620 NEWPORT CENTER DRIVE
 SIXTEENTH FLOOR
 NEWPORT BEACH, CA 92660

CONFIRMATION NO. 1938

FORMALITIES LETTER



OC000000005925681

Date Mailed: 04/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:

Minimum margin: 2.5 cm (1") on left, 2.0 cm (3/4") on top, bottom and right

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE